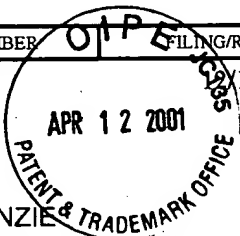




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,135	09/19/2000	Carl Chang	6/029



RIORDAN & MCKINZIE  
300 South Grand Avenue, 29th Floor  
Los Angeles, CA 90071

CONFIRMATION NO. 2531

## FORMALITIES LETTER



\*OC000000005767180\*

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

04/18/2001 BNGUYEN1 00000055 09752135

01 FC:205 65.00 00

*A copy of this notice **MUST** be returned with the reply.*

Teddy Petros  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:  
Carl Chang and Francis Quek

Serial No.: 09/752,135

Filing Date: December 19, 2000

For: Rule-Mitigated Collaboration System  
and Method

Examiner: not yet assigned

Group Art Unit: not yet assigned

Re: Response to Notice to File  
Missing Parts of Nonprovisional  
Application

Our Docket No. 25609.00010

Date of this paper: April 12, 2001

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, a copy of which is enclosed, applicants respectfully submit herewith check no. 1267 in the amount of \$65.00 pursuant to 37 CFR 1.16(e) and 37 CFR 1.27, a copy of the executed Declaration/Power of Attorney and executed Statement Claiming Small Entity Status, and substitute drawings pursuant to 37 CFR 1.84. Applicants request that the attached check, executed documents and substitute drawings be entered into the above-identified application prior to examination.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

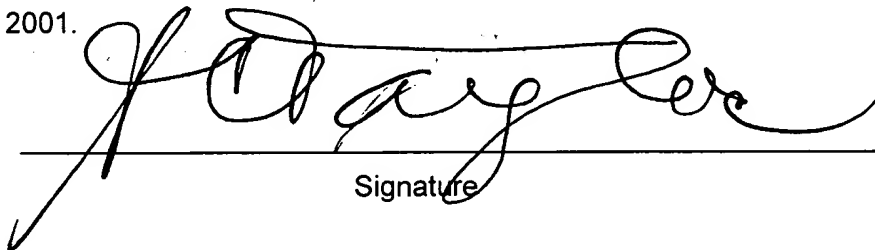


## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail #EL 845468311 US in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on April 12, 2001.  
Date

  
Signature

Alethia C. Taylor

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Cover letter dated April 12, 2001 from JoAnne Redmann, Esq. (1 page)  
Response to Notice to File Missing Parts of Nonprovisional Application (2 pages)  
Notice to File Missing Parts of Nonprovisional Application (2 pages) dated February 15, 2001  
(Executed) Statement Claiming Small Entity Status (1 page)  
(Executed) Declaration and Power of Attorney (1 page)  
15 Figures of drawings (15 pages)  
Check No. 1267

Dated: April 12, 2001



Respectfully submitted,

By: 

Name: JoAnne S. Redmann, Esq.

Registration No. 31,482

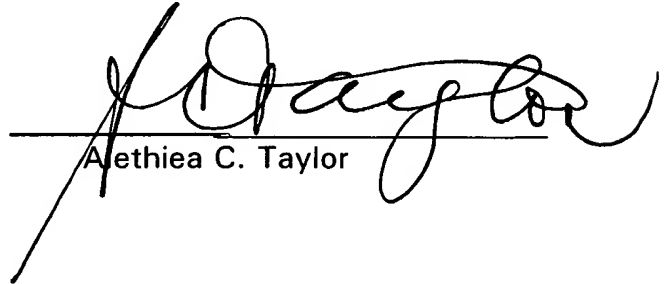
Attorneys for Applicants

CROSBY, HEAFEY, ROACH & MAY  
1901 Avenue of the Stars, 7th Fl.  
Century City, CA 90067  
(310) 734-5200

Certification of Mailing Under 37 CFR § 1.8

I hereby certify that this document and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, April 12, 2001, as Express Mail #EL 845468311US with sufficient postage addressed to Commissioner U.S. Patent and Trademark Office, 2011 Jefferson Davis Hwy, Washington D.C. 20231-0002.

Date: 4/12/01

  
Aethiea C. Taylor



**CROSBY, HEAFEY, ROACH & MAY**

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JoAnne S. Redmann  
Direct Dial: (310) 734-5277  
E-mail Address: jredmann@chrm.com

April 12, 2001

**BY CERTIFIED MAIL  
RETURN RECEIPT REQUESTED**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Application Number 09/752,135

Dear Sir:

Please find enclosed the Response to the Notice To File Missing Parts of Nonprovisional Application dated 2/15/2001, a copy of which has been enclosed, a copy of the Declaration/Power of Attorney and Statement Claiming Small Entity Status. These documents may have already been filed with your office, however, we have included an additional copy, as this file was transferred to us from the law firm that was previously handling this matter and the filing status of these documents is unclear. We thought it best to err on the side of caution in sending a duplicate set of documents.

Very truly yours,



JoAnne S. Redmann, Esq.

JSR:jvw